# "ENVIRONMENT, AGRICULTURE & HUMAN WELFARE: AN OVERVIEW OF SUSTAINABLE GOALS OF FUTURE" 26TH NOVEMBER, 2023

# "Enhancing Software Effort Estimation Using Deep Learning and Neural Networks"

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#### **Abstract**

Accurate software effort estimation is essential for effective project planning, budgeting, and resource allocation. Traditional estimation methods, such as expert judgment and algorithmic models, often struggle to capture the complex, nonlinear relationships between project attributes and required effort. This study explores the use of deep learning and neural networks to enhance estimation accuracy. By training models on historical project data, the proposed approach identifies hidden patterns and adapts to intricate dependencies within the data. Experimental results show that deep learning techniques, including feed forward and recurrent neural networks, outperform conventional methods, reducing estimation errors and increasing reliability. The findings highlight the potential of artificial intelligence in optimizing software project management and advancing effort estimation practices.

Keyword: - Software Effort Estimation, Deep Learning, Neural Networks, Machine Learning, Project Management, Effort Prediction Models, Artificial Intelligence (AI).

#### Introduction

Accurate software effort estimation is a fundamental aspect of software project management, as it directly influences budgeting, scheduling, and resource allocation. Estimating effort involves predicting the amount of time, cost, and workforce required to develop a software system. Traditional estimation techniques, such as expert judgment, analogy-based estimation, and algorithmic models like COCOMO (Constructive Cost Model), have been widely used. However, these methods often suffer from inaccuracies due to the dynamic nature of software projects, evolving technologies, and complex interdependencies between project attributes. With the advent of artificial intelligence and machine learning, data-driven approaches have gained significant attention for improving estimation accuracy. Among these, deep learning and neural networks have emerged as promising techniques due to their ability to model complex patterns, capture non-linear relationships, and improve predictive performance. By leveraging historical project data, deep learning models can identify intricate dependencies that traditional methods fail to capture, leading to more reliable effort predictions.

This study explores the application of deep learning techniques, including feedforward neural networks (FNN), recurrent neural networks (RNN), and convolutional neural networks (CNN), to enhance software effort estimation. The objective is to compare the performance of these models with traditional approaches and assess their effectiveness in reducing estimation errors. Through experimental analysis on publicly available effort estimation datasets, we demonstrate how deep learning can optimize effort prediction and contribute to more efficient software project management.

The rest of the paper is structured as follows: Section 2 discusses related work in software effort estimation. Section 3 outlines the proposed methodology, including data preprocessing, feature selection, and model architecture. Section 4 presents the experimental setup and evaluation metrics. Section 5 discusses the results and their implications. Finally, Section 6 concludes the study with key findings and future research directions.

### Methodology

This study employs deep learning techniques to enhance software effort estimation by leveraging historical project data. The methodology consists of several key stages: data collection, preprocessing, feature selection, model development, training, and evaluation.

#### **Data Collection**

To develop an accurate estimation model, we utilize publicly available software effort estimation datasets, such as the ISBSG (International Software Benchmarking Standards



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Group) and PROMISE repository. These datasets contain historical records of software projects, including attributes such as project size, development effort, team experience, and complexity.

### **Data Preprocessing**

Raw datasets often contain missing values, inconsistencies, and irrelevant features. The preprocessing steps include:

- Handling Missing Data: Using mean/mode imputation or removing incomplete records.
- Normalization & Scaling: Applying Min-Max scaling or standardization to ensure uniform feature distribution.
- Categorical Encoding: Converting categorical variables into numerical representations using techniques such as one-hot encoding.

### **Feature Selection & Engineering**

Feature selection plays a critical role in improving model performance. We employ:

- Correlation Analysis: Removing redundant or weakly correlated features.
- Principal Component Analysis (PCA): Reducing dimensionality while retaining key information.
- Domain Knowledge-Based Selection: Selecting relevant attributes based on software engineering principles.

## **Model Development**

We implement various deep learning architectures to analyze and compare their effectiveness:

- Feedforward Neural Network (FNN): A multi-layer perceptron (MLP) to capture nonlinear relationships.
- Recurrent Neural Network (RNN) & Long Short-Term Memory (LSTM): To model sequential dependencies in project data.
- Convolutional Neural Network (CNN): Applied to structured data representation for pattern recognition.

### **Model Evaluation**

To assess model accuracy and effectiveness, we use:

- Mean Absolute Error (MAE) and Root Mean Squared Error (RMSE) to measure prediction accuracy.
- R<sup>2</sup> Score (Coefficient of Determination) to determine how well the model explains variance in effort estimation.
- Comparison with Traditional Methods: Benchmarking against COCOMO, Function Point Analysis, and regression-based models.

#### Results

This section presents the evaluation results of deep learning models applied to software effort estimation. We compare the performance of Feed forward Neural Networks (FNN), Recurrent Neural Networks (RNN), and Convolution Neural Networks (CNN) against traditional estimation models. The evaluation metrics used include Mean Absolute Error (MAE), Root Mean Squared Error (RMSE), and R<sup>2</sup> Score.

# **Performance Comparison**

Table 1 shows the performance metrics of different models on the test dataset.

**Table 1: Model Performance Comparison** 

Model	MAE (Lower is better)	RMSE (Lower is better)	R <sup>2</sup> Score (Higher is better)
COCOMO	15.23	18.45	0.62
Regression Model	12.47	15.32	0.71
Feed forward NN	9.82	12.15	0.78



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Model	MAE (Lower is better)	RMSE (Lower is better)	R <sup>2</sup> Score (Higher is better)
Recurrent NN (LSTM)	8.95	10.87	0.83
Convolution NN	8.21	10.32	0.86

#### **Discussion**

## **Deep Learning Outperforms Traditional Methods**

The deep learning models, particularly CNN and LSTM-based RNN, achieved lower MAE and RMSE values compared to traditional methods like COCOMO and regression.

- The CNN model performed the best with an MAE of 8.21 and RMSE of 10.32, highlighting its ability to detect complex patterns in the data.
- Recurrent Neural Networks Improve Sequential Data Learning

The LSTM-based RNN model outperformed the Feedforward Neural Network by capturing dependencies in project attributes, improving the R<sup>2</sup> Score to 0.83.

## **Feature Selection and Data Preprocessing Impact**

Feature engineering and data normalization played a crucial role in enhancing model accuracy. The exclusion of irrelevant attributes and normalization of numerical values contributed to performance gains.

# **Over fitting & Generalization Considerations**

- Regularization techniques such as dropout and batch normalization helped prevent overfitting.
- The performance gap between training and test data was minimal, indicating good generalization.

### **Comparison with Existing Studies**

The results align with previous research indicating that deep learning can significantly enhance effort estimation accuracy. Compared to conventional machine learning models, neural networks showed improved adaptability and robustness.

#### Conclusion

This study explored the application of deep learning and neural networks to enhance software effort estimation, addressing the limitations of traditional estimation methods. By leveraging historical project data, we developed and compared various deep learning models, including Feed forward Neural Networks (FNN), Recurrent Neural Networks (RNN), and Convolutional Neural Networks (CNN). The experimental results demonstrated that deep learning models consistently outperformed conventional approaches such as COCOMO and regression-based models. Among the tested architectures, the CNN model achieved the highest accuracy, with the lowest Mean Absolute Error (MAE) of 8.21 and the highest R<sup>2</sup> score of 0.86. The RNN model, particularly the LSTM variant, also showed strong performance by capturing sequential dependencies in project attributes.

#### **Recommendations:**

Based on the study's findings, it is recommended that organizations integrate deep learning models, such as CNN and RNN, into software effort estimation tools to improve accuracy. Emphasis should be placed on enhancing data quality through proper preprocessing and feature selection. Additionally, hybrid models combining traditional methods with AI techniques can be explored for better predictions. Future research should focus on leveraging larger datasets and using transfer learning to further refine estimation models and reduce computational costs.

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